Application No.: 09/610,313 2 Novartis Reference: PAT051386-US-CIP01
Mofo Reference: 223002109720

## Amendments to the Claims:

This listing of claims will replace all prior versions, and listings, of claims in the application.

## Listing of Claims:

- (Currently Amended): An expression cassette, comprising
   a polynucleotide sequence operably linked to a promoter, wherein the polynucleotide
  sequence has at least 90% sequence identity to the polynucleotide sequence presented in Figure 8
  (SEQ ID NO:30[7]]; Figure 9-(SEQ ID NO:31[7]]]; or Figure 10-(SEQ ID NO:32[7])].
- (Original): The expression cassette of claim 1, further comprising one or more nucleic acids encoding one or more viral polypeptides or antigens.
- (Previously Presented): The expression cassette of claim 2, wherein the viral
  polypeptides or antigens are selected from the group consisting of Gag, Env, vif, vpr, tat, rev, vpu,
  nef and combinations thereof.
- (Previously Presented): The expression cassette of claim 1, further comprising one or more nucleic acids encoding one or more cytokines.
- (Previously Presented): A recombinant expression system for use in a selected host cell, comprising, the expression cassette of claim 1, and wherein said polynucleotide sequence is operably linked to control elements compatible with expression in the selected host cell.
- 6. (Original): The recombinant expression system of claim 5, wherein said control elements are selected from the group consisting of a transcription promoter, a transcription enhancer element, a transcription termination signal, polyadenylation sequences, sequences for optimization of initiation of translation, and translation termination sequences.

Application No.: 09/610,313 3 Novartis Reference: PAT051386-US-CIP01 Mofo Reference: 223002109720

 (Previously Presented): The recombinant expression system of claim 6, wherein said transcription promoter is selected from the group consisting of CMV, CMV+intron A, SV40, RSV, HIV-Ltr. MMLV-ltr. and metallothionein.

- (Previously Presented): A cell comprising the expression cassette of claim 1, and wherein said polynucleotide sequence is operably linked to control elements compatible with expression in the selected cell.
  - 9. (Original): The cell of claim 8, wherein the cell is a mammalian cell.
- (Original): The cell of claim 9, wherein the cell is selected from the group consisting of BHK, VERO, HT1080, 293, RD, COS-7, and CHO cells.
  - 11. (Original): The cell of claim 10, wherein said cell is a CHO cell.
  - 12. (Original): The cell of claim 8, wherein the cell is an insect cell.
- (Original): The cell of claim 12, wherein the cell is either *Trichoplusia ni* (Tn5) or
   Sf9 insect cells.
  - 14. (Original): The cell of claim 8, wherein the cell is a bacterial cell.
  - 15. (Original): The cell of claim 8, wherein the cell is a yeast cell.
  - 16. (Original): The cell of claim 8, wherein the cell is a plant cell.
  - 17. (Original): The cell of claim 8, wherein the cell is an antigen presenting cell.
- (Original): The cell of claim 17, wherein the antigen presenting cell is a lymphoid cell selected from the group consisting of macrophage, monocytes, dendritic cells, B-cells, T-cells, stem cells, and progenitor cells thereof.

Application No.: 09/610,313 4 Novartis Reference: PAT051386-US-CIP01 Mofo Reference: 223002109720

- 19. (Original): The cell of claim 8, wherein the cell is a primary cell.
- 20. (Currently Amended): The cell of claim 8, wherein the cell is an immortalized cell. [[21,1]]
  - 21. (Previously Presented): The cell of claim 8, wherein the cell is a tumor cell.
- (Previously Presented): A composition for generating an immunological response, comprising the expression cassette of claim 1.
- (Original): The composition of claim 22, further comprising one or more Pol polypeptides.
  - 24. (Original): The composition of claim 23, further comprising an adjuvant.
- (Previously Presented): A composition for generating an immunological response, comprising the expression cassette of claim 2.
  - 26. (Original): The composition of claim 25, further comprising a *Pol* polypeptide.
- 27. (Currently Amended): The composition of claim 26, further comprising a polypeptide encoded by a polynucleotide sequence operably linked to a promoter, wherein the polynucleotide sequence encodes an HIV Pol polypeptide that elicits a Pol-specific immune response, and further wherein the polynucleotide sequence encoding said polypeptide comprises a nucleotide sequence having at least 90% sequence identity to the sequence presented of Figure 8 (SEQ ID NO:30[[])]; Figure 9-(SEQ ID NO:31[[])]; or Figure 10-(SEQ ID NO:32[[])].
  - 28. (Original): The composition of claim 27, further comprising an adjuvant.
- (Previously Presented): A method of generating an immune response in a subject, comprising, introducing the composition of claim 22 into said subject under conditions that are compatible with expression of said expression cassette in said subject.

Application No.: 09/610,313 5 Novartis Reference: PAT051386-US-CIP01 Mofo Reference: 223002109720

 (Original): The method of claim 29, wherein said expression cassette is introduced using a gene delivery vector.

- 31. (Original): The method of claim 30, wherein the gene delivery vector is a non- viral vector
- (Original): The method of claim 30, wherein said gene delivery vector is a viral vector.
- (Original): The method of claim 32, wherein said gene delivery vector is a Sindbis virus derived vector.
- (Original): The method of claim 32, wherein said gene delivery vector is a retroviral vector.
- (Original): The method of claim 32, wherein said gene delivery vector is a lentiviral vector.
- (Previously Presented): The method of claim 30, wherein said composition is delivered by using a particulate carrier.
- 37. (Original): The method of claim 30, wherein said composition is coated on a gold or tungsten particle and said coated particle is delivered to said subject using a gene gun.
- (Original): The method of claim 30, wherein said composition is encapsulated in a liposome preparation.
- 39. (Currently Amended): The method of any <u>one</u> of claims 30-38, wherein said subject is a mammal
  - 40. (Original): The method of claim 39, wherein said mammal is a human.

Application No.: 09/610,313 6 Novartis Reference: PAT051386-US-CIP01 Mofo Reference: 223002109720

## 41-42 (Canceled)

- (Previously Presented): The method of claim 29, where the method further comprises administration of a polypeptide derived from an HIV.
- (Original): The method of claim 43, wherein administration of the polypeptide to the subject is carried out before introducing said expression cassette.
- 45. (Original): The method of claim 43, wherein administration of the polypeptide to the subject is carried out concurrently with introducing said expression cassette.
- (Original): The method of claim 43, wherein administration of the polypeptide to the subject is carried out after introducing said expression cassette.
- 47. (Previously Presented): The expression cassette of claim 2, wherein the viral polypeptides or antigens are selected from the group consisting of polypeptides derived from hepatitis B, hepatitis C and combinations thereof.
- (Currently Amended): An expression cassette comprising the polynucleotide sequence of SEQ ID NO; 30, SEQ ID NO; 31 or SEQ ID NO; 32.
- 49. (Previously Presented): The expression cassette of claim 48 further comprising a nucleotide sequence encoding a viral polypeptide selected from the group consisting of Gag, Env, vif, vpr, tat, rev, vpu, nef, and combinations thereof.
- 50. (Original): A composition for generating an immunological response in a mammal comprising the expression cassette of claim 48.
- (Original): A method of generating an immune response in a mammal, the method comprising the step of intramuscularly administering the expression cassette of claim 48 to said mammal.

Application No.: 09/610,313 7 Novartis Reference: PAT051386-US-CIP01 Mofo Reference: 223002109720

52. (Currently Amended): The expression cassette of claim 1, comprising a nucleotide sequence encoding an HIV-1 Pol polypeptide, wherein the catalytic center region of the Reverse-Transcriptase is modified to become non-functional, and wherein said nucleotide sequence has at least 90% sequence identity to the polynucleotide sequence presented in Figure 9 (SEQ ID NO:31[Till].

53 (Currently Amended): The expression cassette of claim 1, comprising a nucleotide sequence encoding an HIV-1 Pol polypeptide, wherein the catalytic center and the primer grip region of the Reverse-Transcriptase are modified to become non-functional, and wherein said nucleotide sequence has at least 90% sequence identity to the polynucleotide sequence presented in Figure 10 (SEO ID NO:32[f)]].